10/591263

# IAP12 Rec'd PCT/PTO 3 TAUG 2006

IN RE APPLICATION: James A. RUSSELL, et al.

GROUP ART UNIT: Unassigned

SERIAL NUMBER: TBA

EXAMINER: Unassigned

FILED: August 31, 2006

FOR: PROTEIN C AND ENDOTHELIAL PROTEIN C RECEPTOR POLYMORPHISMS AS INDICATORS OF

SUBJECT OUTCOME

#### INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicant(s) wish to disclose the following information.

#### REFERENCES

- The Applicant(s) wish to make of record the references listed on the attached PTO/SB/08. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR § 1.17(p).

#### **RELATED CASES**

- Attached is a list of applicant's pending applications or issued patents which may be related to the present application. A copy of the patent(s) is attached along with PTO/ SB/08.
- $\square$  A check is attached in the amount required under 37 CFR § 1.17(p).

#### **CERTIFICATION**

The undersigned certifies that

- each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of this statement.

#### **PETITION**

Applicant(s) hereby request consideration of the attached information. A check is attached in the amount of the Petition fee required under 37 CFR § 1.17(i)(1).

#### **DEPOSIT ACCOUNT**

Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account No. 50-0911. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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Date: August 31, 2005

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PTO/SB/08a/b (05-03)

Approved for use through 05/31/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449/PTO					Complete if Known		
				Application Number	ТВА		
II.	<b>IFORMATION</b>	I DI	SCLOSURE	Filing Date	August 31, 2006		
STATEMENT BY APPLICANT				First Named Inventor	James A. RUSSELL, et al.		
				Art Unit	ТВА		
(use as many sheets as necessary)				Examiner Name	ТВА		
Sheet	1	of	1_	Attorney Docket Number	28903.0006		

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	AA	2002/0198371 A1	12-26-2002	WANG		
	AB	6,812,339 B1	11-2-2004	VENTER, et al.		

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>	
	ВА	03/100090 A2	12-04-2003				
	BB	2004-044123 A2	05-27-2004				

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NON PATENT LITERATURE DOCUMENTS					
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²		
	CA	Gordon R. BERNARD, M.D., et al., "Efficacy and Safety of Recombinant Human Activated Protein C for Severe Sepsis," The New England Journal of Medicine, March 2001, Vol. 344, No. 10, pages 699-709			
	СВ	D. Scopes, et al., "Polymorphic Variation in the Human Protein C (PROC) Gene Promoter can influence Transcriptional Efficiency in Vitro," Blood Coagulation and Fibrinolysis, 1995, Vol. 6, pages 317-321			
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Examiner	Date	
		1
Signature	Considered	1

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.